

MAY 25 2004

Sheet 1 of 4

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
U.S. Patent and Trademark OfficeAttorney's Docket No.
17105-058001
(25886-0094)Application No.
10/607,922List of Patents and Publications for Applicant's
Information Disclosure Statement

(37 CFR §1.98(b))

Applicant
R. Pandey et al.Filing Date
June 27, 2003Group Art Unit
1621

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
CON	A	RE37,180	05/15/01	Mori et al.	514	410	04/29/99
	B	2001/0022970	09/20/01	Dees et al.	424	178.1	03/06/01
	C	2002/0033192	03/21/02	Lindsey et al.	136	263	05/10/01
	D	2002/0049247	04/25/02	Chen	514	410	01/12/01
	E	2002/0087205	07/04/02	Chen	607	88	07/13/01
	F	2002/0127224	09/12/02	Chen	424	130.1	03/04/02
	G	2002/0127230	09/12/02	Chen	424	178.1	07/13/01
	H	2002/0128303	09/12/02	Bellnier et al.	514	410	03/07/01
	I	2002/0198576	12/26/02	Chen et al.	607	88	08/01/02
	J	2003/0018371	01/23/03	Chen	607	88	07/13/01
	K	2003/0030342	02/13/03	Chen et al.	310	102	12/13/01
	L	2003/0109813	06/12/03	Chen	601	2	12/10/02
	M	2003/0114434	06/19/03	Chen et al.	514	185	08/31/99
	N	2003/0167033	09/04/03	Chen et al.	604	20	01/23/03
	O	2003/0208249	11/06/03	Chen	607	88	04/08/03
	P	2004/0044197	03/04/04	Pandey et al.	540	140	06/27/03
	Q	2004/0044198	03/04/04	Pandey et al.	540	145	06/02/03
	R	4,521,762	06/04/85	Kapral	340	347	12/20/82
	S	4,577,636	03/25/86	Spears	128	654	01/27/84
	T	4,656,186	04/07/87	Bommer et al.	514	410	04/07/87
	U	4,675,338	06/23/87	Bommer et al.	514	410	07/18/84
	V	4,693,885	09/15/87	Bommer et al.	424	9.61	04/30/85
	W	4,753,958	06/28/88	Weinstein et al.	514	410	06/25/86
	X	4,861,876	08/29/89	Kessel	540	145	11/26/86
	Y	4,878,891	11/07/89	Judy et al.	604	5	06/25/87
	Z	4,925,736	05/15/90	Shikowitz	424	449	07/06/88
	AA	4,935,498	06/19/90	Sessler et al.	534	15	03/06/89
	AB	4,957,481	09/18/90	Gatenby	604	20	07/03/89
	AC	4,997,639	03/05/91	Aizawa et al.	424	9	11/27/89
CON	AD	5,004,811	04/02/91	Bommer et al.	540	145	12/24/87

Examiner Signature

M. Wakelin

Date Considered

10/11/02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>CON</i>	AE	5,028,594	07/02/91	Carson	514	23	12/27/88
	AF	5,041,078	08/20/91	Matthes et al.	604	4	12/21/89
	AG	5,051,415	09/24/91	Moran et al.	514	185	08/02/89
	AH	5,053,006	10/01/91	Watson	604	52	04/02/90
	AI	5,066,274	11/19/91	Bommer et al.	604	20	05/12/89
	AJ	5,095,030	03/10/92	Levy et al.	514	410	09/28/89
	AK	5,216,012	06/01/93	Morgan et al.	514	410	09/23/91
	AL	5,298,018	03/29/94	Narciso, Jr.	604	21	08/14/92
	AM	5,308,861	05/03/94	Aizawa et al.	514	410	04/29/92
	AN	5,330,741	07/19/94	Smith et al.	424	9	02/24/92
	AO	5,368,841	11/29/94	Trauner et al.	424	9	02/11/93
	AP	5,430,051	07/04/95	Aizawa et al.	514	410	04/19/94
	AQ	5,441,531	08/15/95	Zarate et al.	607	90	10/18/93
	AR	5,484,803	01/16/96	Richter	514	410	02/02/95
	AS	5,500,009	03/19/96	Mendes et al.	607	88	09/27/93
	AT	5,514,669	05/07/96	Selman	514	63	09/29/93
	AU	5,534,506	07/09/96	Morgan et al.	514	185	06/20/94
	AV	5,549,660	08/27/96	Mendes et al.	607	088	11/12/92
	AW	5,556,612	09/17/96	Anderson et al.	424	59	03/15/94
	AX	5,567,409	10/22/96	Aizawa et al.	424	9,363	12/12/94
	AY	5,594,136	01/14/97	Sessler et al.	540	472	05/03/95
	AZ	5,705,518	01/06/98	Richter et al.	514	410	02/06/95
	BA	5,736,563	04/07/98	Richter	514	410	11/08/95
	BB	5,770,619	07/23/98	Richter et al.	514	410	11/01/95
	BC	5,824,080	10/20/98	Lamuraglia	623	11	09/04/96
	BD	5,829,448	11/03/98	Fisher et al.	128	898	10/30/96
	BE	5,832,931	11/10/98	Wachter et al.	128	898	10/30/96
	BF	5,851,225	12/22/98	Lawandy	607	88	11/13/96
	BG	5,885,557	03/23/99	Lentini	424	59	02/08/96
<i>CON</i>	BH	5,913,884	06/22/99	Trauner et al.	607	88	10/31/96

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U.S. Patent Documents

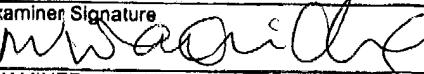
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
CON	BI	5,942,534	08/24/99	Trauner et al.	514	410	10/10/97
	BJ	5,944,748	08/31/99	Mager et al.	607	88	07/25/97
	BK	5,976,535	11/02/99	Fritzberg et al.	424	182.1	06/06/95
	BL	5,998,597	12/07/99	Fisher et al.	536	23.1	12/11/97
	BM	6,036,941	03/14/00	Bottiroli et al.	424	9.6	05/11/98
	BN	6,048,359	04/11/00	Biel	607	92	08/25/98
	BO	6,063,108	05/16/00	Salansky et al.	607	89	01/06/97
	BP	6,063,777	05/16/00	Hikida et al.	514	183	06/15/99
	BQ	6,090,788	07/18/00	Lurie	514	23	06/30/99
	BR	6,107,466	08/22/00	Hasan et al.	530	351	09/24/98
	BS	6,117,862	09/12/00	Margaron et al.	514	185	11/09/98
	BT	6,152,951	11/28/00	Hashimoto et al.	607	92	02/17/98
	BU	6,162,242	12/19/00	Peyman	607	88	01/21/99
	BV	6,187,030	02/13/01	Gart et al.	607	93	11/05/98
	BW	6,454,789	09/24/02	Chen et al.	607	88	01/15/99
	BX	6,624,187	09/23/03	Pandey et al.	514	410	06/12/00
CON	BY	6,657,351	12/02/03	Chen et al.	310	171	07/20/01

Foreign Patent Documents or Published Foreign Patent Applications

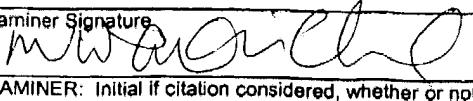
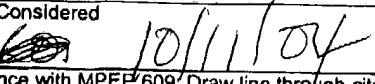
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
CON	BZ	00/61584 A1	10/19/00	PCT				
	CA	01/74398 A1	10/11/01	PCT				
	CB	02/098882 A1	12/12/02	PCT				
	CC	03/050082 A2	06/19/03	PCT				
	CD	2004/002476 A2	01/08/04	PCT				
CON	CE	2004/005289 A2	01/15/04	PCT				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
CON	CF	Anderson et al. "Photodynamic therapy for sarcoma pulmonary metastases: a preclinical toxicity study," <i>Anticancer Res.</i> 23:3713-3718 (2003).

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Other Documents (include Author, Title, Date, and Place of Publication)				
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	CG	Certified English Translation of: Fischer, H. et al., "[On the Bromination of the Esters of Mesoisochlorin e4 and Mesochlorin e6]," <i>Berichte der Deutschen Chemischen</i> 75:1778-1795 (1942)		
	CH	Chen et al., "New directions in photodynamic therapy," <i>ICCP-2. 2nd International Conference on Porphyrins and Phthalocyanines</i> , 2002 30 June - 5 July; Kyoto, Japan: 78 [abstract S-26]		
	CI	Chen et al., "New technology for deep light distribution in tissue for phototherapy," <i>Cancer J</i> 8(2):154-163. (2002)		
	CJ	Chen et al., "Next-generation light delivery system for multitreatment extended-duration photodynamic therapy (MED-PDT)," <i>Proc SPIE</i> 2972:161-166 (1997).		
	CK	Database Crossfire Beilstein, Database Accession No. 4286587 (Reaction ID), for Levinson, E.G. et al., Russ. J. Bioorg. Chem (Engl. Transl.) 21(3):199-203 (1995) in Russian in the :Bioorg. Khim. 21(3):230-234 (1995)		
	CL	Derwent English Abstract, Accession No. 1996-475153, citing Russian Patent RU 2054944 C, published February 27, 1996, "Production of purpurin-18 for treatment of tumours - comprises extracting vegetable waste with ethanol, oxidative splitting, degreasing and purifying".		
	CM	Fischer, H. et al., "[On the Bromination of the Esters of Mesoisochlorin e ₄ and Mesochlorin e ₆]," <i>Berichte der Deutschen Chemischen</i> 75:1778-1795 (1942)		
	CN	Haslam et al., "Recent Developments in Methods for the Esterification and Protection of the Carboxyl Group," <i>Tetrahedron</i> 36: 2409-2433 (1980)		
	CO	Jones et al. "Photodynamic therapy for patients with advanced non-small-cell carcinoma of the lung," <i>Clin Lung Cancer</i> . 3(1):37-41 (2001)		
	CP	Li et al., "Application of Ruppert's reagent in preparing novel perfluorinated porphyrins, chlorins and bacteriochlorins", <i>J. Chem. Soc. Perkin Trans 1</i> , 1785-1787 (1999)		
	CQ	Li et al., "Synthesis, comparative photosensitizing efficacy, human serum albumin (site II) binding ability, and intracellular localization characteristics of novel benzobacteriochlorins derived from vic-dihydroxybacteriochlorins.", <i>J Med Chem.</i> 46(25):5349-5359 (2003)		
	CR	Lustig et al., "A multicenter Phase I safety study of intratumoral photoactivation of talaporfin sodium in patients with refractory solid tumors," <i>Cancer</i> 98(8):1767-71 (2003)		
	CS	Patent Abstract of Japan citing Japanese Patent Application JP 09124652, published May 13, 1997, "Porphyrin Derivative and Use Thereof".		
	CT	Schmidt-Erfurth et al., "Photodynamic therapy of subfoveal choroidal neovascularization: clinical and angiographic examples," <i>Graefe's Arch Clin Exp Ophthalmol.</i> 236:365-374 (1998)		
	CU	Schmidt-Erfurth et al., "Vascular Targeting in Photodynamic Occlusion of Subretinal Vessels," <i>Ophthalmology</i> 101:1953-1961 (1994)		
	CV	Smith et al., "Bacteriochlorophylls c from <i>Chloropseudomonas ethylidicum</i> . Composition and NMR Studies of the Pheophorbides and Derivatives", <i>Am. Chem. Soc.</i> , 102(7):2437-2448 (1980)		
	CW	Zheng et al., "Chlorin-based symmetrical and unsymmetrical dimers with amide linkages: effect of the substituents on photodynamic and photophysical properties," <i>J. Chem. Soc. Perkins 1</i> , pp. 3113-3121 (2000)		
		CX	Zheng et al., "PDT using a novel LED light source and LS11 in a rat liver model," <i>30th Annual Meeting of the American Society for Photobiology</i> ; 2002 13-17 July; Quebec City, Canada. American Society for Photobiology: 33 [abstract 95]	

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